



A new analytic method and solutions for the quantum integrable models without U(1) symmetry



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1. Introduction 2. XXZ with antiperiodic boundary condition 1) $\eta = \pi i/3$ case 2) Arbitrary η case 3. XXZ with open boundary condition 4. Thermodynamics



References:

- > Nucl. Phys. B 974 (2022) 115626;
- Phys. Rev. B 102 (2020) 085115;
- > JHEP 11 (2021) 044;
- Phys. Rev. B 103 (2021) L220401;
- > JHEP 07 (2021) 133.





Exact solution problem for systems with U(1) symmetry solved.

Exact solution problem for systems without U(1) symmetry solved.





Homo BAEs:

with U(1)

without U(1) ODBA method

Inhomo BAEs:

i = 1, ..., N.



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Non-uniqueness



Roots patterns are complicated

$$Q(u - \eta) - e^{-u - \eta} d(u) Q(u + \eta) - c(u)a(u)d(u),$$

$$\frac{j}{2},$$

$$\frac{p}{Q_{1}} - e^{-u - \eta} d(u) \frac{Q_{2}(u + \eta)}{Q_{1}(u)} - c(u) \frac{a(u)d(u)}{Q_{1}(u)Q_{2}(u)},$$

$$j), \quad Q_{2}(u) = \prod_{j=1}^{M} \sinh(u - \nu_{j}),$$



Li Y.-Y, et al., Nucl. Phys. B 884, 2014, 884: 17-27.

(2) Finite size correction of inhomo terms——SU(3) model with ABC



$$\frac{\operatorname{nh}(\lambda_j - i\frac{\theta}{2})}{\operatorname{nh}(\lambda_j + i\frac{\theta}{2})} \int_{-1}^{2N} \frac{\sinh(2\lambda_j - i\theta)}{\sinh(2\lambda_j + i\theta)} \frac{\sinh(\lambda_j + ia_+)}{\sinh(2\lambda_j + i\theta)} \frac{\sinh(\lambda_j - ia_+)}{\sinh(\lambda_j - ia_-)} \frac{\cosh(\lambda_j + \beta + i\frac{\theta}{2})}{\cosh(\lambda_j + \beta - i\frac{\theta}{2})} \frac{\cosh(\lambda_j - \beta + i\frac{\theta}{2})}{\cosh(\lambda_j - \beta - i\frac{\theta}{2})} - \prod_{l=1}^{N} \frac{\sinh(\lambda_j - \lambda_l - i\theta)}{\sinh(\lambda_j - \lambda_l - i\theta)} \frac{\sinh(\lambda_j + \lambda_l - i\theta)}{\sinh(\lambda_j + \lambda_l + i\theta)},$$





R-matrix:

$$R_{0,j}(u) = \frac{1}{2} \left[\frac{\sinh(u+\eta)}{\sinh\eta} (1+\sigma_j^z \sigma_0^z) + \frac{\sinh u}{\sinh\eta} (1-\sigma_j^z \sigma_0^z) \right] + \frac{1}{2} (\sigma_j^x \sigma_0^x + \sigma_j^y \sigma_0^y),$$

Yang-Baxter equation

 $R_{1,2}(u_1 - u_2)R_{1,3}(u_1 - u_3)R_{2,3}(u_2 - u_3) = R_{2,3}(u_2 - u_3)R_{1,3}(u_1 - u_3)R_{1,2}(u_1 - u_2).$

$$\sum_{j=1}^{N} \left[\sigma_j^x \sigma_{j+1}^x + \sigma_j^y \sigma_{j+1}^y + \cosh \eta \sigma_j^z \sigma_{j+1}^z \right],$$

Boundary condition $\sigma_{N+1}^{\alpha} = \sigma_1^x \sigma_1^{\alpha} \sigma_1^x$, for $\alpha = x, y, z$. $\eta = \frac{i\pi}{3}$.

$\eta = \pi i/3$

Transfer matrix $t(u) = tr_0 \{\sigma_0^x R_{0,N}(u - \theta_N) \dots R_{0,1}(u - \theta_1)\},\$ Using the YBE, we can prove [t(u), t(v)] = 0.

Expanding t(u) in terms of u

 $t(u) = t^{(1)}e^{(N-1)u} + t^{(2)}e^{(N-3)u}$

We readily have that the coefficients are mutually commuting. Hamiltonian can be expressed by: $H = -2\sinh\eta \frac{\partial\ln t(u)}{\partial u}\Big|_{u=0,\theta_i=0} + N\cosh\eta.$

$$+\cdots+t^{(N)}e^{-(N-1)u}.$$

integrability



- Acting transfer matrix on an eigenstate: $t(u)|\Psi\rangle = \Lambda(u)|\Psi\rangle.$
- overall coefficient constant N-1 $\Lambda(u) = \Lambda_0 \prod \sinh(u - z_j).$ j=1
- Let us introduce a 3N 3 degree trigonometric polynomial

 $F_3(u) = \Lambda(u) \Lambda(u - \eta) \Lambda(u - 2\eta).$

We express the eigenvalue in terms of its N – 1 zero points and an



which enjoys the properties

 $F_3(u + \eta) = (-1)^{N-1} F_3(u),$ $F_3(u) = F_3^{(1)} e^{(3N-3)u} + F_3^{(2)} e^{(3N-3)u} + F_3^{(3)} e^{(3N-3)u} + F_3^{(3)} e^{(3N-3)u} + F_3^{(3N-3)u} +$

The above relations can uniquely determine the 3N-3 trigonometric polynomial F3(u), and the result is that the eigenvalue $\Lambda(u)$ should satisfy

 $\Lambda(u)\Lambda(u-\eta)\Lambda(u-2\eta) = -a(u)d(1+(-1))$

$$F^{3N-5)u} + \dots + F^{(3N-2)}_{3} e^{-(3N-3)u},$$

 $j - 2\eta), \quad j = 1, \dots, N,$
 $\Lambda(\theta_j + \eta), \quad j = 1, \dots, N,$
 $j - \eta) \Lambda(\theta_j + \eta), \quad j = 1, \dots, N.$

$$(u - \eta)\Lambda(u - 2\eta) - a(u - \eta)d(u - 2\eta)\Lambda(u)$$

-1)^N a(u + \eta)d(u)\Lambda(u - \eta).



The zero points of the eigenvalue $\Lambda(u)$ must satisfy

$$(-1)^{N} \frac{d(z_{j})}{a(z_{j})} = \prod_{l=1}^{N} \frac{\sinh(z_{j} - \theta_{l})}{\sinh(z_{j} - \theta_{l} - 2\eta)} = \prod_{k \neq j}^{N-1} \frac{\sinh(z_{j} - z_{k} + \eta)}{\sinh(z_{j} - z_{k} - \eta)}, \quad j = 1, \cdots, N-1.$$

where:
$$a(u) = \prod_{l=1}^{N} \frac{\sinh(u - \theta_l + \eta)}{\sinh \eta}, \quad d(u) = a(u - \eta).$$

The energy spectrum

$$E = 2\sinh\eta \sum_{j=1}^{N-1} \coth z_j + j$$

 $N \cosh \eta$.

η=π i/3

Numerical solutions

λ1	λ_2	λ3	24	λ5	E_n	n
0	0.6969	-0.6969	0.2664	-0.2664	-6.4785	1
-0.6700	0.0310	0.3300	-0.2415	1.0477 - 1.5708i	-5.1280	2
0.6700	-0.0310	-0.3300	0.2415	-1.0477 + 1.5708i	-5.1280	3
-0.0804	-0.4734	0.2076	0.6357	-0.3695 - 1.5708i	-4.2182	4
0.0804	0.4734	-0.2076	-0.6357	0.3695 + 1.5708i	-4.2182	5
0.1528	-0.1528	-0.5836	0.5836	-1.5708i	-3.8607	6
-0.3047	0.3047	0	1.0124 - 1.5708i	-1.0124 + 1.5708i	-3.6496	7
-0.2687	0.4515	0.0516	0.3238 - 1.5708i	-0.9667 + 1.5708i	-2.6398	8
0.2687	-0.4515	-0.0516	-0.3238 + 1.5708i	0.9667 + 1.5708i	-2.6398	9
0.1301	-0.2030	0.5604	-0.0819 - 1.5708i	-0.8975 + 1.5708i	-2.2241	10
-0.1301	0.2030	-0.5604	0.0819 - 1.5708i	0.8975 + 1.5708i	-2.2241	11
0.6203	0.1974	-0.0862	-0.4537 + 1.0526i	-0.4537 - 1.0526i	-2.0000	12
-0.6203	-0.1974	0.0862	0.4537 + 1.0526i	0.4537 - 1.0526i	-2.0000	13
0	-0.4175	0.4175	0.2632 + 1.5708i	-0.2632 + 1.5708i	-1.6234	14
0.1817	-0.1817	1.5708i	-0.8587 - 1.5708i	0.8587 + 1.5708i	-0.4043	15
0.0541	-0.2606	0.4254 + 1.0539i	0.4254 - 1.0539i	-0.9414 - 1.5708i	-0.2277	16
-0.0541	0.2606	-0.4254 + 1.0539i	-0.4254 - 1.0539i	0.9414 + 1.5708i	-0.2277	17
-0.5749	-0.1613	0.5231	0.1269 + 1.0479i	0.1269 - 1.0479i	0.5222	18
0.5749	0.1613	-0.5231	-0.1269 + 1.0479i	-0.1269 - 1.0479i	0.5222	19
0.0067	0.4202	0.2161 - 1.5708i	-0.3803 + 1.0558i	-0.3803 - 1.0558i	1.0892	20
-0.0067	-0.4202	-0.2161 - 1.5708i	0.3803 + 1.0558i	0.3803 - 1.0558i	1.0892	21
-0.5380	-0.1223	0.2012 + 1.0510i	0.2012 - 1.0510i	0.6784 - 1.5708i	2.0000	22
0.5380	0.1223	-0.2012 + 1.0510i	-0.2012 - 1.0510i	-0.6784 + 1.5708i	2.0000	23
0.4900	-0.2257	0.0925 - 1.0486i	0.0925 + 1.0486i	-0.8593 - 1.5708i	2.3827	24
-0.4900	0.2257	-0.0925 - 1.0486i	-0.0925 + 1.0486i	0.8593 - 1.5708i	2.3827	25
0.4146	-0.4146	1.5708i	+1.0499i	-1.0499i	3.5137	26
0	0.3767 - 1.0590i	0.3767 + 1.0590i	-0.3767 - 1.0590i	-0.3767 + 1.0590i	3.7515	27
0.1845	-0.1721 + 1.0544i	-0.1721 - 1.0544i	-0.5975 - 1.5708i	0.7995 - 1.5708i	4.1565	28
-0.1845	0.1721 - 1.0544i	0.1721 + 1.0544i	0.5975 - 1.5708i	-0.7995 - 1.5708i	4.1565	29
-0.0279 - 1.0558i	-0.0279 + 1.0558i	0.3317 + 1.0832i	0.3317 - 1.0832i	-0.4288	6.2874	30
0.4288	0.0279 + 1.0558i	0.0279 - 1.0558i	-0.3317 + 1.0832i	-0.3317 - 1.0832i	6.2874	31
-1.5708i	0.1962 + 1.1268i	0.1962 - 1.1268i	-0.1962 - 1.1268i	-0.1962 + 1.1268i	8.7513	32



Taking the logarithm $\theta_1(\lambda_j) = \frac{2\pi I_j}{N} - \frac{1}{N} \sum_{k=1}^{N-1}$ quantum number $\{I_j\} = \left\{-\frac{N}{2} + 1\right\}$

$$Difference$$

$$\prod_{k=1}^{N-1} \frac{\sinh(\lambda_j - \lambda_k + \frac{i}{3}\pi)}{\sinh(\lambda_j - \lambda_k - \frac{i}{3}\pi)}, \quad j = 1, \dots, N-1,$$

$$\boxed{ * \text{ roots}}$$

$$* * * * * *$$

$$\prod_{\substack{k=1\\ 0 \ 0.5 \ 1}} \frac{1}{\text{Re}(\lambda)}$$

$$\frac{1}{2} \theta_2(\lambda_j - \lambda_k), \quad \theta_m(\lambda) = -i \ln \frac{\sinh(\frac{i\pi m}{6} - \lambda_j)}{\sinh(\frac{i\pi m}{6} + \lambda_j)}.$$

$$1, -\frac{N}{2} + 2, \dots, \frac{N}{2} - 2, \frac{N}{2} - 1 \}.$$
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Define the counting function

The derivative $\frac{dZ(\lambda)}{d\lambda} \equiv \rho_g(\lambda) + \rho_g^h(\lambda),$

Taking the derivative of BAEs $\rho_g(\lambda) + \rho_g^h(\lambda) = a_1(\lambda) + c_g(\lambda)$

where $\rho_g^h(\lambda) = \frac{1}{3N} \delta(\lambda - \lambda_0^h) +$

The number of zero points

$$Z(\lambda) = \frac{1}{2\pi} \left[\theta_1(\lambda) + \frac{1}{N} \sum_{k=1}^{N-1} \theta_2(\lambda - \lambda_k) \right].$$

$$\int_{-\infty}^{\infty} a_2(\lambda - \mu) \rho_g(\mu) d\mu,$$

$$\frac{1}{3N}\delta(\lambda+\lambda_0^h).$$

$$\int_{-\infty}^{\infty} \rho_g(\lambda) d\lambda = \frac{N-1}{N}.$$

$\eta = \pi i/3$

The solution of integral equation

$$\rho_g(\lambda) = \frac{3i}{4\pi} \Big[\operatorname{csch}\left(\frac{3}{2}\lambda + \frac{i\pi}{4}\right) - \operatorname{csch}\left(\frac{3}{2}\lambda - \frac{i\pi}{4}\right) \Big] - \frac{1}{3N} \Big\{ \delta(\lambda - \lambda_0^h) + \delta(\lambda + \lambda_0^h) + \frac{3}{4\pi} \operatorname{sech}\left[\frac{3}{2}(\lambda - \lambda_0^h)\right] + \frac{3}{4\pi} \operatorname{sech}\left[\frac{3}{2}(\lambda + \lambda_0^h)\right] \Big\}.$$

Thus the ground state energy is $E_g = 2N \sinh\left(\frac{i}{3}\pi\right) \int_{-\infty}^{\infty} \coth\left(\lambda\right)$ $=\frac{3-3\sqrt{3}}{2}N+\Delta(\lambda_0^h)+\Delta$

where
$$\Delta(\lambda_0^h) = \frac{\sqrt{3}}{4} \left[\operatorname{sech}\left(\frac{3}{2}\lambda_0^h + \frac{i\pi}{4}\right) + \operatorname{sech}\left(\frac{3}{2}\lambda_0^h - \frac{i\pi}{4}\right)\right] + \frac{\sqrt{3}}{2}i \tanh(3\lambda_0^h).$$

 λ_0^h turns to infinity to minimize the energy.

$$(-\frac{i}{6}\pi)\rho_g(\lambda)d\lambda + N\cosh(\frac{i}{3}\pi)$$

$$\Delta(-\lambda_0^h),$$



Elementary excitation of type I





$$\lambda_{N-1} = \alpha - i \frac{\pi}{2}$$

$$\begin{bmatrix} \frac{\sinh(\lambda_j - \frac{i}{6}\pi)}{\sinh(\lambda_j + \frac{i}{6}\pi)} \end{bmatrix}^N = -\prod_{k=1}^{N-2} \frac{\sinh(\lambda_j - \lambda_k + \frac{i}{3}\pi)}{\sinh(\lambda_j - \lambda_k - \frac{i}{3}\pi)}$$
$$j = 1, \cdots, N-2,$$
$$\begin{bmatrix} \frac{\cosh(\alpha - \frac{i}{6}\pi)}{\cosh(\alpha + \frac{i}{6}\pi)} \end{bmatrix}^N = \prod_{k=1}^{N-2} \frac{\cosh(\alpha - \lambda_k + \frac{i}{3}\pi)}{\cosh(\alpha - \lambda_k - \frac{i}{3}\pi)}.$$

The BAEs reads

$$-\prod_{k=1}^{N-2} \frac{\sinh(\lambda_j - \lambda_k + \frac{i}{3}\pi) \cosh(\lambda_j - \alpha + \frac{i}{3}\pi)}{\sinh(\lambda_j - \lambda_k - \frac{i}{3}\pi) \cosh(\lambda_j - \alpha - \frac{i}{3}\pi)},$$

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η=π i/3

Taking the logarithm

$$\theta_{1}(\lambda_{j}) = \frac{2\pi I_{j}}{N} - \frac{1}{N} \sum_{k=1}^{N-2} \theta_{2}(\lambda_{j} - \lambda_{k}) + \frac{1}{N} \theta_{1}(\lambda_{j} - \alpha),$$

$$\theta_{2}(\alpha) = \frac{2\pi J}{N} - \frac{1}{N} \sum_{k=1}^{N-2} \theta_{1}(\alpha - \lambda_{k}),$$

$$I_{j} = \left\{ -\frac{N-1}{2} + 1, -\frac{N-1}{2} + 2, \cdots, \frac{N-1}{2} - 2, \frac{N-1}{2} - 1 \right\},$$

$$J \in \left\{ -\frac{N}{2} + 1, -\frac{N}{2} + 2, \cdots, \frac{N}{2} - 2, \frac{N}{2} - 1 \right\}.$$

No hole

$$\delta\rho_{1}(\lambda) = -\frac{3i}{4\pi N} \left[\operatorname{csch}\left(\frac{3}{2}\lambda - \frac{3}{2}\alpha + \frac{i\pi}{4}\right) - \operatorname{csch}\left(\frac{3}{2}\lambda - \frac{3}{2}\alpha - \frac{i\pi}{4}\right) \right]$$

$$\theta_{1}(\lambda_{j}) = \frac{2\pi I_{j}}{N} - \frac{1}{N} \sum_{k=1}^{N-2} \theta_{2}(\lambda_{j} - \lambda_{k}) + \frac{1}{N} \theta_{1}(\lambda_{j} - \alpha),$$

$$\theta_{2}(\alpha) = \frac{2\pi J}{N} - \frac{1}{N} \sum_{k=1}^{N-2} \theta_{1}(\alpha - \lambda_{k}),$$

$$I_{j} = \left\{ -\frac{N-1}{2} + 1, -\frac{N-1}{2} + 2, \cdots, \frac{N-1}{2} - 2, \frac{N-1}{2} - 1 \right\},$$

$$J \in \left\{ -\frac{N}{2} + 1, -\frac{N}{2} + 2, \cdots, \frac{N}{2} - 2, \frac{N}{2} - 1 \right\}.$$

No hole

$$\delta\rho_{1}(\lambda) = -\frac{3i}{4\pi N} \left[\operatorname{csch}\left(\frac{3}{2}\lambda - \frac{3}{2}\alpha + \frac{i\pi}{4}\right) - \operatorname{csch}\left(\frac{3}{2}\lambda - \frac{3}{2}\alpha - \frac{i\pi}{4}\right) \right]$$

Quantum numbers

$(I_{i}) =$	ſ	N-1	1
$\{I_j\} =$	[2	1
$J \in \{$	N	+ 1	Ν
° - l	2	,	2

The density difference

The EE energy

 $\delta e_1 = 2N \sinh\left(\frac{i}{3}\pi\right)$

$$=\frac{3\sqrt{3}}{2}\operatorname{sech}\left(\frac{3}{2}\right)$$

$$\int_{-\infty} \coth\left(\lambda - \frac{i}{6}\pi\right) \delta\rho_1(\lambda) d\lambda + 2\sinh\left(\frac{i}{3}\pi\right) \coth\left(\alpha - \frac{2}{3}\pi\right)$$

 $\frac{3\alpha}{2}$).





Elementary excitation of type II



$$\frac{\pi}{3} \quad \lambda_{N-2} = \alpha - i \frac{\pi}{3}$$

$$\begin{bmatrix} N \\ = -\prod_{k=1}^{N-3} \frac{\sinh(\lambda_j - \lambda_k + \frac{i}{3}\pi)}{\sinh(\lambda_j - \lambda_k - \frac{i}{3}\pi)} \frac{\sinh(\lambda_j - \alpha + \frac{2i}{3}\pi)}{\sinh(\lambda_j - \alpha - \frac{2i}{3}\pi)} \\ N - 3, \\ \end{bmatrix}^N = \prod_{k=1}^{N-3} \frac{\sinh(\alpha - \lambda_k + \frac{2i}{3}\pi)}{\sinh(\alpha - \lambda_k - \frac{2i}{3}\pi)}.$$
¹⁹

-,

η=π i/3

Taking the logarithm



$$\theta_{1}(\lambda_{j}) = \frac{2\pi I_{j}}{N} - \frac{1}{N} \sum_{k=1}^{N-3} \theta_{2}(\lambda_{j} - \lambda_{k}) + \frac{1}{N} \theta_{2}(\lambda_{j} - \alpha),$$

$$\theta_{1}(\alpha) = \frac{2\pi J}{N} - \frac{1}{N} \sum_{k=1}^{N-3} \theta_{2}(\alpha - \lambda_{k}), \qquad \text{inconsecutive}$$

$$\{I_{j}\} = \left\{ -\frac{N-1}{2} + 1, -\frac{N-1}{2} + 2, \cdots, \frac{N-1}{2} - j - 1, \frac{N-1}{2} - j - 1, \frac{N-1}{2} - j + 1, \cdots, \frac{N-1}{2} - 2, \frac{N-1}{2} - 1 \right\},$$

$$J = \frac{N-1}{2} - j, \quad j = 1, \cdots, N-2. \qquad \text{Holes}$$

Quantum numbers



The density difference

 $\delta\rho_2(\lambda) = -\frac{3}{4\pi}$

The EE energy $\delta e_2 = 3\Delta(\alpha)$

$$\frac{1}{N} \left[\operatorname{sech}(\frac{3}{2}\lambda - \frac{3}{2}\alpha) + \operatorname{sech}(\frac{3}{2}\lambda - \frac{3}{2}\lambda_2^h) \right] - \frac{1}{N} \delta(\lambda - \lambda_2^h)$$

$$+3\Delta(-\alpha) = \frac{3\sqrt{6}\cosh(\frac{3\alpha}{2})}{\cosh(3\alpha)}.$$





Arbitrary n

At inhomo para $\Lambda(\theta_j) \Lambda(\theta_j - \eta) = -a(\theta_j) d(\theta_j - \eta), \quad j = 1, ..., N.$

We focus on $\Lambda(u)\Lambda(u-\eta)$.

With the fusion techniques

$$\mathbf{t}(u)\mathbf{t}(u-\eta) = \mathrm{tr}_{1,2} \{ P_{1,2}^{(-)} \sigma_1^x + \mathrm{tr}_{1,2} \{ P_{1,2}^{(+)} \sigma_1^x \} \}$$

we have the following relation $\mathbf{t}(u)\mathbf{t}(u-\eta) = -a(u)d(u-\eta) \times \mathbf{id} + d(u)\mathbf{W}(u),$

$\sigma_{2}^{x}\mathbf{T}_{2}(u)\mathbf{T}_{1}(u-\eta)P_{1,2}^{(-)}$ $\sigma_1^x \sigma_2^x \mathbf{T}_2(u) \mathbf{T}_1(u-\eta) P_{1,2}^{(+)} \},$



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Topological momentum



$$F_{1}^{x} P_{1,N} P_{1,N-1} \cdots P_{1,2,}$$

$$= -i \ln \mathbf{t}(0).$$

$$\frac{\sinh \left(z_{j} + \frac{\eta}{2}\right)}{\sinh \left(z_{j} - \frac{\eta}{2}\right)} + (1 - (-1)^{N-1}) \frac{\pi}{4}$$

.





Conserved charge

$$\frac{1}{u} \prod_{u \to \infty}^{1} (2 \sinh \eta e^{-u})^{N-1} \mathbf{t}(u),$$

$$\mp \frac{\eta}{2} \sum_{k=j+1}^{N} \sigma_{k}^{z} \sigma_{j}^{\pm} e^{\pm \frac{\eta}{2} \sum_{k=1}^{j-1} \sigma_{k}^{z}},$$

$$^{\mathsf{V}-1}\eta \Lambda_0 e^{-\sum_{k=1}^{N-1} z_k}.$$

Only when $\eta \rightarrow 0$, the model tends to an isotropic spin chain and the U (1) symmetry recovers with $\mathbf{M}_q = \sum_{i=1}^N \sigma_i^x/2$, which is just

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Pattern of roots

Transfer matrix satisfies

 $\mathbf{t}^{\dagger}(u) = (-1)^{N-1} \mathbf{t}(u)$ $\Lambda(u) = (-1)^{N-1} \Lambda$

if z_i is a root, z_i^* must also be a root.

Therefore, z_i can be classified into 3 sets: 1.real z_i ; 2.lm(z_i) = $-i\pi/2$; 3.complex conjugate pairs.

From t-W relation $W^*(u^*) = (-1)^N W(u)$,

if w_i is a root, w_i^* must also be a root.

$$u^* - \eta),$$

* $(u^* - \eta).$

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Ground state. For the ground state, all roots z_i and w_i take real values around zero symmetrically. Taking the logarithms of BAEs and its complex conjugate $2\theta_1(z_j) - \theta_3(z_j) = \frac{4\pi I}{N}$ $\theta_n(x) = 2 \cot^{-1} (\coth x \tan \frac{n\gamma}{2}).$ and $\ln \left| \Lambda_0 \sinh \left(z_j - \frac{3\eta}{2} \right) \right| =$

 $2a_1(z) -$ Taking the continuum limits

where $a_n(z) = \theta'_n(z)/(2\pi)$, $b_n(z) = \ln' |\sinh(z - n\eta/2)|/\pi$.

$$\begin{split} \frac{y_j}{n} &= \frac{1}{N} \sum_{l=1}^N \theta_1(z_j - w_l), \\ I_j &= \left\{ -\frac{N-2}{2}, -\frac{N-4}{2}, \cdots, \frac{N-4}{2}, \frac{N-2}{2} \right\}. \\ &\frac{1}{N} \sum_{l=1}^N \ln \left| \sinh \left(z_j - w_l - \frac{\eta}{2} \right) \right|, \\ a_3(z) &= 2\rho(z) + 2\rho^h(z) - a_1 * \sigma(z), \\ &b_3(z) &= b_1 * \sigma(z), \end{split}$$

convolution



With Fourier transformation we readily have

$$\rho(z) + \rho^{h}(z) = \frac{2\cosh\left(\frac{\pi z}{\pi - \gamma}\right)\sin\left(\frac{\pi \gamma}{2\pi - 2\gamma}\right)}{(\pi - \gamma)\left[\cosh\left(\frac{2\pi z}{\pi - \gamma}\right) + \cos\left(\frac{\pi(\pi - 2\gamma)}{\pi - \gamma}\right)\right]}.$$

The ground state energy density reads

$$e_g = -\sin\gamma \int \frac{\cosh\left[\frac{(\pi - 2\gamma)\tau}{2}\right] \tanh\left[\frac{(\pi - \gamma)\tau}{2}\right]}{\sinh\left(\frac{\pi\tau}{2}\right)} d\tau + \cos\gamma,$$

which is the same as that of $\eta = \pi i/3$ case.



We set $z = \alpha - i\pi/2$, $w_{\pm} = \beta \pm m\eta/2$.

Convergence of the density fund

 $\delta e_1 = \sin \gamma$

Excitation energy

ction
$$m+1-\frac{\pi}{\gamma} = 0$$
, $\beta = \alpha$.
$$\int \frac{\cos(\tau\alpha) \tanh\left[\frac{(\pi-\gamma)\tau}{2}\right] \cosh\left(\frac{\tau\gamma}{2}\right)}{\sinh\left(\frac{\pi\tau}{2}\right)} d\tau$$

 $2\sin^2\gamma$ $\cosh(2\alpha) + \cos\gamma$



We set $z_{\pm} \sim \alpha \pm \eta$, $w_{\pm} \sim \alpha \pm 3\eta/2$ Excitation energy

$$\delta e_2 = \sin \gamma \int \frac{\cos(\tau \alpha) \tanh\left[\frac{(\pi - \gamma)\tau}{2}\right] \cosh\left[\frac{(\pi - 3\gamma)\tau}{2}\right]}{\sinh\left(\frac{\pi \tau}{2}\right)} d\tau + \frac{4 \sin^2 \gamma}{\cosh(2\alpha) - \cos \gamma} - \frac{2 \sin \gamma \sin(3\gamma)}{\cosh(2\alpha) - \cos(3\gamma)}.$$



where

 $f(\tau) = \cosh[(1 - \delta_{n-1} - \delta_{n+1})\pi \tau/2] \cosh[(\delta_{n-1} - \delta_{n+1})\pi \tau/2].$ 30

ηER

 w_j to θ_j

Constrain equations $\Lambda_0^2 \prod_{l=1}^{N-1} \sinh\left(\theta_j - z_l + \frac{\eta}{2}\right) \sinh\left(\theta_j - z_l - \frac{\eta}{2}\right)$ $= -\sinh^{-2N} \eta \prod_{l=1}^{N} \sinh(\theta_j - \theta_l + \eta) \sinh(\theta_j - \theta_l - \eta).$

Density of zero roots

$$\rho_{1g}(x) = \frac{1}{\pi} \sum_{k=1}^{\infty} 2\cos(2kx)e^{-k\eta} + \frac{1}{\pi} \left(1 - \frac{1}{N}\right)$$

Energy for GS

$$\begin{split} E_{1g} &= 2N \sinh \eta \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} \coth \left(ix - \frac{\eta}{2} \right) \rho_{1g}(x) dx + I \\ &= -N \cosh \eta + 2 \sinh \eta. \end{split}$$





Elementary excitations



Density of zero roots

$$\rho_{1e}(x) = \frac{1}{\pi} \sum_{k=1}^{\infty} \left[2\cos(2kx)e^{-k\eta} - 2\cos[2k(x-\alpha)] \frac{e^{-n\eta k} + e^{-(n-2)\eta k}}{N} \right] + \frac{1}{\pi} \left(1 - \frac{3}{N} \right).$$

Energy for GS $\Delta E_1 = 4 \sinh \eta \frac{1}{\cos^2 \eta}$

$$\frac{\sinh\left[(n-1)\eta\right]}{\sinh\left[(n-1)\eta\right] - 2\cos(2\alpha)}.$$

ηER+iπ



$\eta \in R + i\pi$

Energy for EE $\Delta E_3 = \epsilon(p) + \epsilon(q)$

where $\epsilon(t) = -4 \sinh \eta_+ \sum_{k=1}^{\infty} (-1)^{k+1} e^{-1}$

Momentum $K = \zeta(p) + \zeta(q)$,

where $\zeta(t) = \sum_{k=1}^{\infty} (-1)^k \frac{\sin(2kt)}{k} e^{-\eta_+ k}$

$$-\eta_{+}k \tanh(\eta_{+}k)\cos(2kt) + 2\sinh\eta_{+}\frac{\sinh\eta_{+}}{\cosh\eta_{+}+\cos 2t}$$

$$^{k} \tanh(\eta_{+}k) - \frac{i}{2} \ln \left[-\frac{\cosh\left(it + \frac{\eta_{+}}{2}\right)}{\cosh\left(it - \frac{\eta_{+}}{2}\right)} \right].$$

 \overline{t} .

Hamiltonian
$$H = \sum_{j=1}^{N-1} \left\{ \sigma_j^x \sigma_{j+1}^x + \sigma_j^y \sigma_{j+1}^y + \cosh \eta \sigma_j^z \sigma_{j+1}^z \right\}$$

boundary fields $h_{\pm}^{z} = \mp \frac{\sinh \eta \cosh \alpha_{\pm} \sinh \beta_{\pm}}{\sinh \alpha_{\pm} \cosh \beta_{\pm}},$ $h_{\pm}^{x} = \frac{\sinh \eta \cos \theta_{\pm}}{\sinh \alpha_{\pm} \cosh \beta_{\pm}}, \quad h_{\pm}^{y} = \frac{\sinh \eta \sin \theta_{\pm}}{\sinh \alpha_{\pm} \cosh \beta_{\pm}},$

Integrability $H = \sinh \eta \frac{\partial \ln t(u)}{\partial u}$

 $c_0 = N \cosh \eta + \tanh \eta \sinh \eta$,

 $+ \vec{h}_{-} \cdot \vec{\sigma}_1 + \vec{h}_{+} \cdot \vec{\sigma}_N,$

$$\frac{1}{u=0,\{\theta_j=0\}}$$
 - C_0 ,



transfer matrix

 $t(u) = tr_0\{K_0^+(u)\}$ $\times K_0^-$

boundary reflection matrix

 $K^{-}(u) = \begin{pmatrix} K_{11}^{-}(u) \\ K_{21}^{-}(u) \end{pmatrix}$ $K_{11}^{-}(u) = 2 \sinh \alpha_{-} \cosh \beta_{-} \cosh u$ $+2\cosh\alpha_{-}\sinh\beta_{-}\sinh u$, $K_{12}^{-}(u) = e^{-i\theta_{-}}\sinh(2u), \quad K_{21}^{-}(u) = e^{i\theta_{-}}\sinh(2u),$ $K_{22}^{-}(u) = 2 \sinh \alpha_{-} \cosh \beta_{-} \cosh u$

dual boundary matrix

 $K^+(u) = K^-(-$

$$R_{0N}(u - \theta_N) \cdots R_{01}(u - \theta_1)$$
$$(u)R_{10}(u + \theta_1) \cdots R_{N0}(u + \theta_N)\}.$$

$$K_{12}^{-}(u) \\ K_{22}^{-}(u)$$
,

 $-2 \cosh \alpha_{-} \sinh \beta_{-} \sinh u$,

$$-u - \eta)|_{(\alpha_-,\beta_-,\theta_-) \to (-\alpha_+,-\beta_+,\theta_+)}$$

OBC

Transfer matrix satisfies the identities	$\Lambda(\theta_j)\Lambda(\theta_j - \eta) =$ $\Lambda(0) = a(0)$
where	$a(u) = -4 \frac{\sinh(2\pi)}{\sinh(2\pi)}$ $\times \sinh(u)$
	$\times \prod_{l=1}^{N} \frac{\sin l}{l}$
homogeneous limit	$[\Lambda(u)\Lambda(u-\eta)]^{(n)} $
parametrization	$\Lambda(u) = \Lambda_0 \prod_{j=1}^{N+2} \sin \theta$ $\Lambda_0 = -8\cos(\theta \theta)$

$$= a(\theta_j)a(-\theta_j), \quad j = 1, \dots, N,$$

$$0), \quad \Lambda\left(\frac{i\pi}{2}\right) = a\left(\frac{i\pi}{2}\right),$$

$$\frac{2u+2\eta}{(2u+\eta)}\sinh(u-\alpha_-)\cosh(u-\beta_-)$$

$$u-\alpha_+)\cosh(u-\beta_+)$$

$$\frac{nh(u-\theta_l+\eta)\sinh(u+\theta_l+\eta)}{\sinh^2\eta}.$$

$$|_{u=0} = [a(u)a(-u)]^{(n)}|_{u=0},$$

$$\ln\left(u-z_j+\frac{\eta}{2}\right)\sinh\left(u+z_j+\frac{\eta}{2}\right).$$

 $-\theta_+$) sinh^{-2N} η



Energy $E = \sinh \eta \sum_{j=1}^{N+2} \left[\coth \left(z_j \right) \right]$

By choosing a proper set of inhomogeneity parameters, the root distributions possess manageable patterns in the thermodynamic limit.



$$_{j}+\frac{\eta}{2}
ight)-\coth\left(z_{j}-\frac{\eta}{2}
ight)
ight]-c_{0}.$$



OBC

Energy $E(N, \eta, \alpha_+, \alpha_-, \beta_+, \beta_-, \theta_-)$ The energy is invariant under the parameter changes: (i) $\alpha_{\pm} \rightarrow -\alpha_{\pm}$, (ii) $\beta_{+} \rightarrow -\beta_{+}$, (if) (iv) $\alpha_{-} \rightarrow -\alpha_{-}, \beta_{-} \rightarrow -\beta_{-}, \theta_{-} \rightarrow$

We consider only the case of α_{\pm} , $\beta_{\pm} > 0$ and $|\beta_{\pm}| \ge |\beta_{\pm}|$.

It is sufficient to quantify the boundary contributions by tuning β_{-} in four regimes:

(I) $\beta_+ > \beta_- > \eta/2$, (II) $\eta/2 \ge \beta_- \ge 0$, (III) $0 > \beta_- > -\eta/2$, and (IV) $-\eta/2 \ge \beta_{-} > -\infty.$

$$\theta_+, \theta_-).$$

ii)
$$\alpha_{+} \rightarrow -\alpha_{+}, \beta_{+} \rightarrow -\beta_{+}, \theta_{+} \rightarrow \pi + \theta_{+}$$

 $\rightarrow \pi + \theta_{-}, (v) \beta_{+} \rightarrow \beta_{-}, \beta_{-} \rightarrow \beta_{+}.$

- 🤊



Zero roots for region I



Density of zero roots $\tilde{\rho}(k) = \left[2N\tilde{b}_2\tilde{\sigma}(k) + \left[1 + (-1)^k \left(\tilde{b}_{\frac{2\beta_+}{\eta}}\right)^k\right]\right]$

BAEs

$$\int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} [b_2(u-\bar{\theta}) + b_2(u+\bar{\theta})]\sigma(\bar{\theta})d\bar{\theta} + b_2\left(u-\frac{\pi}{2}\right) + b_2(u) + b_{\frac{2\beta}{\eta}}\left(u-\frac{\pi}{2}\right) + b_{\frac{2\beta+}{\eta}}\left(u-\frac{\pi}{2}\right) + b_{\frac{2\alpha-}{\eta}}(u) + b_{\frac{2\alpha+}{\eta}}(u) = N \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} [b_1(u-\tilde{z}) + b_3(u-\tilde{z})]\rho(\tilde{z})d\tilde{z} + b_1(u) + b_1\left(u-\frac{\pi}{2}\right),$$

$$-1)^{k}](\tilde{b}_{2} - \tilde{b}_{1}) + \tilde{b}_{\frac{2\alpha_{+}}{\eta}} + \tilde{b}_{\frac{2\beta_{-}}{\eta}})]/[N(\tilde{b}_{1} + \tilde{b}_{3})],$$





Energy



- [1

e_{b0} is the surface energy induced by the free open boundary.

$$\begin{aligned} & k_{+}, \beta_{+} \end{pmatrix} + e_{b}(\alpha_{-}, \beta_{-}) + e_{b0}, \\ & \sinh \eta \sum_{k=1}^{\infty} \tanh(k\eta) \{ (-1)^{k} e^{-2k\eta} \\ & e^{-2k|\alpha|} + (-1)^{k} e^{-2k|\beta|} \} - \tanh \eta \sinh \eta, \\ & \sinh \eta \sum_{k=1}^{\infty} \{ \tanh(k\eta) [1 - (-1)^{k}] e^{-2k\eta} \\ & + (-1)^{k}] e^{-k\eta} \} + \tanh \eta \sinh \eta, \end{aligned}$$

where $e_b(\alpha, \beta)$ indicates the contribution of one boundary field and



Zero roots for region II/III



Boundary $\frac{\pi}{2} \pm (\beta_{-} + \frac{\eta}{2})i$ and $\frac{\pi}{2} \pm (\beta_{+} + \frac{\eta}{2})i$. string

Zero roots for region IV







Energy



$$E_{b4} = E_{b1} + E_h,$$
$$E_h = 2\sinh\eta \left[\sum_{k=1}^{\infty}\right]$$



DMRG results

 $E_{b3} = 4\sinh\eta \sum_{k=1}^{k} (-1)^k e^{-k\eta} \tanh(k\eta) \cosh(2k\beta_- + k\eta)$ $+E_{b1} + \sinh \eta [\tanh(\beta_- + \eta) - \tanh(\beta_-)].$









η∈iR



$$E_{b} = -\frac{\sin\gamma}{2} \int_{-\infty}^{\infty} \frac{\tanh(\frac{k\gamma}{2})}{\sinh(\frac{k\pi}{2})} \{\cosh\frac{k(\pi-2\gamma)}{2} - 1 + \cosh\frac{k(\pi-2\bar{\alpha}_{+}+2\pi\lfloor\frac{\bar{\alpha}_{+}}{\pi}\rfloor)}{2} + \cos\beta_{+} \\ + \cosh\frac{k(\pi-2\bar{\alpha}_{-}+2\pi\lfloor\frac{\bar{\alpha}_{-}}{\pi}\rfloor)}{2} + \cos\beta_{-} - \cosh\frac{k\gamma}{2} - \cosh\frac{k(\pi-\gamma)}{2}\} dk.$$

45



Thermal Bethe ansatz

infinitely many nonlinear integral equations (NLIEs)

quantum transfer matrix (QTM)

transfer-matrix renormalization group (TMRG)





Trotter-Suzuki mapping.



A one-dimensional quantum system at a finite temperature can be mapped into a classical system on two-dimensional inhomogeneous lattice by the

Suzuki M, Phys. Rev.



Hamiltonian of the periodic Heisenberg spin chain in antiferromagnetic regime



where



R-matrix

$$\vec{S}_j \cdot \vec{S}_{j+1} + h \sum_{j=1}^L S_j^z$$

 \vec{S}_1





Quantum transfer matrix

$$t^{(Q)}(u) = tr_0 \{ e^{\frac{h\beta}{2}\sigma_0^z} \left(R_{0\,N}(u - \frac{2\eta J\beta}{N}) R_{0\,N-1}(u + \frac{2\eta J\beta}{N} - \eta) \right) \dots \\ \times (R_{0\,2}(u - \frac{2\eta J\beta}{N}) R_{0\,1}(u + \frac{2\eta J\beta}{N} - \eta) \}$$

The transfer matrix t(u) satisfies the t – W relation, and the corresponding relation for their eigenvalues is

$$\Lambda^{(Q)}(u)\,\Lambda^{(Q)}(u-\eta) = a(u)\,d(u-\eta) + e^{\frac{h\beta}{2}}d(u)\,W^{(Q)}(u)$$



Express any eigenvalue $\Lambda(u)$ of the transfer matrix (or W(u) of the fused one) in terms of its N zero points

$$\Lambda^{(Q)}(u) = 2\cosh\frac{h\beta}{2} \prod_{j=1}^{N} W^{(Q)}(u) = (4\cosh^2\frac{h\beta}{2})$$

BAEs

$$a(z_j) d(z_j - \eta) = -e^{\frac{h\beta}{2}} d(z_j) W^{(Q)}(z_j), \qquad j = 1, \cdots, N$$
$$a(w_j) d(w_j - \eta) = \Lambda^{(Q)}(w_j) \Lambda^{(Q)}(w_j - \eta), \quad j = 1, \cdots, N$$

 $\int_{=1}^{N} (u - z_j)$ $\frac{\beta}{2} - 1) \prod_{j=1}^{N} (u - w_j)$



The distributions of the Bethe roots and zeros



T = 0.5





t – W relation:

$$\begin{split} \bar{\Lambda}^{(Q)}(u + \frac{\eta}{2})\bar{\Lambda}^{(Q)}(u - \frac{\eta}{2}) \\ &= \frac{(u + \eta\tau + \frac{\eta}{2})^M(u - \eta\tau - \frac{\eta}{2})^M}{(u - \eta\tau + \frac{\eta}{2})^M(u + \eta\tau - \frac{\eta}{2})^M} \\ &+ (4\cosh^2\frac{h\beta}{2} - 1)\frac{\prod_{j=1}^M(u - w_j^{(+)} - \frac{3}{2}\eta)(u - w_j^{(-)} + \frac{3}{2}\eta)}{(u + \eta\tau - \frac{3}{2}\eta)^M(u - \eta\tau + \frac{3}{2}\eta)^M} \\ &\stackrel{\text{def}}{=} q(u) + (4\cosh^2\frac{h\beta}{2} - 1)\bar{w}(u) + O(\frac{1}{N}) \end{split}$$

Integral representation

$$\ln \bar{\Lambda}^{(Q)}(u) = \ln 2 \cosh \frac{h\beta}{2} + \frac{1}{2\pi i} \oint_{\mathcal{C}_1} dv \frac{\ln \left((q(v) + (4\cosh^2 \frac{h\beta}{2} - 1)e^{-\beta\bar{\epsilon}(v)})/4\cosh^2 \frac{h\beta}{2} \right)}{u - v - \frac{\eta}{2}} + \frac{1}{2\pi i} \oint_{\mathcal{C}_2} dv \frac{\ln \left((q(v) + (4\cosh^2 \frac{h\beta}{2} - 1)e^{-\beta\bar{\epsilon}(v)})/4\cosh^2 \frac{h\beta}{2} \right)}{u - v + \frac{\eta}{2}}$$



New NLIE

$$\begin{aligned} \ln(q(u) + (4\cosh^2\frac{h\beta}{2} - 1)e^{-\beta\bar{\epsilon}(u)}) &= 2\ln 2\cosh\frac{h\beta}{2} \\ &+ \frac{1}{2\pi i}\oint_{\mathcal{C}_1}dv(\frac{1}{u-v} + \frac{1}{u-v-\eta})\ln\left((q(v) + (4\cosh^2\frac{h\beta}{2} - 1)e^{-\beta\bar{\epsilon}(v)})/4\cosh^2\frac{h\beta}{2}\right) \\ &+ \frac{1}{2\pi i}\oint_{\mathcal{C}_2}dv(\frac{1}{u-v+\eta} + \frac{1}{u-v})\ln\left((q(v) + (4\cosh^2\frac{h\beta}{2} - 1)e^{-\beta\bar{\epsilon}(v)})/4\cosh^2\frac{h\beta}{2}\right) \end{aligned}$$







Free energy

$$f(\beta) = J - \frac{1}{\beta} \ln \bar{\Lambda}^{(Q)}(0)$$
$$= e_g - \frac{1}{\beta} \int_{-\infty}^{+\infty} \frac{dv}{2\cosh \pi v} \ln \left(1 + (4\cosh^2 \frac{h\beta}{2} - 1)e^{-\beta\epsilon(v)} \right)$$

High-temperature expansions

$$f/T = -\ln(2\cosh(h/T)) - \frac{J}{T}\tanh^2(h/T) - \frac{3J^2}{2T^2}(1-\tanh^4(h/T)) + \cdots$$









t-W relation for SU(n) spin chain

$$t_m^{(Q)}(u) t_m^{(Q)}(u-\eta) = t_{m-1}^{(Q)}(u-\eta) t_{m+1}^{(Q)}(u) + a_m(u) \mathbb{W}_m^{(Q)}(u), \qquad m = 1, \cdots, n-1.$$



2n-2 auxiliary functions



Fujii A and Klumper A, Nucl. Phys B, 1999, 546(3): 751-764.

2n - 2 auxiliary functions



So far, many typical U(1)-symmetry-broken models have been solved by the method:

- > The spin-1/2 Heisenberg chain with arbitrary boundary fields.
- > Integrable J_1 - J_2 model.
- > The t-J model with unparallel boundary fields.
- The Hubbard model with unparallel boundary fields.
- > The open spin chains associated with the $D_2^{(1)}$ and $D_2^{(2)}$ algebras.

